

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Mun Cheol PAEK, et al.

Serial No.: New Application      Group Art Unit: Not Yet Assigned

Filed: March 19, 2004      Examiner: Not Yet Assigned

Title: METHOD OF FABRICATING STAMPER AND FOCUSING GRATING COUPLER  
USING THE SAME

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

March 19, 2004

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached.

In accordance with 37 CFR §1.97(b)(1) or (2), this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, or within three months of the date of entry into the national stage of the above-identified application as set forth in 37 CFR §1.491. Accordingly, no fee is required.

Respectfully submitted,

JACOBSON HOLMAN PLLC

By: 

Yoon S. Ham

Registration No. 45,307

400 Seventh Street, N.W.  
Washington, DC 20004  
(202) 638-6666  
Atty. Dkt. No.: P69591US0  
YSH:dj

**JACOBSON HOLMAN PLLC**  
 400 SEVENTH STREET, N.W.  
 WASHINGTON, D.C. 20004-2201

## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: P69591US0 GROUP ART UNIT: Not Yet Assigned  
 SERIAL NO.: New Application FILING DATE: March 19, 2004  
 APPLICANT(S): Mun Cheol PAEK, et al. TODAY'S DATE: March 19, 2004

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	INT'L CLASS	SUB- CLASS	FILING DATE (If Appropriate)
AA	4,779,259	10/18/88	Kono et al.	G11B	7/00	04/23/86
AB	6,285,813	09/04/01	Schultz et al.	G02B	6/34	10/02/98
AC						

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES) (NO)
AD						
AE						

\*\*\*\*\*

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AF Shogo Ura, et al.; "An Integrated-Optic Disc Pickup Device";  
Journal of Lightwave Technology; Vol. LT-4, No. 7; July 1986;  
pp. 913-918.

AG Stephen Sheard, et al.; "Focusing waveguide grating coupler using  
a diffractive doublet"; Applied Optics; Vol. 36, No. 19;  
July 1, 1997; pp. 4349-4353.

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).